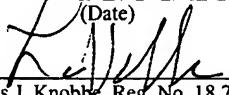


INFORMATION DISCLOSURE STATEMENT

Applicant : Kurt E. English, *et al.*
 App. No. : 10/663,193
 Filed : September 16, 2003
 For : METHODS FOR FACILITATING
 PRIVATE FUNDING OF EARLY-
 STAGE COMPANIES

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March 10, 2004
 (Date)

 Louis J. Knobbe, Reg. No. 18,780

Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing 10 references. Copies of disclosed U.S. patents and/or publications are not included pursuant to PTO waiver of the requirement under 37 C.F.R. § 1.98(a)(2)(i) for applications filed after June 30, 2003. Copies of other references, if listed, are enclosed.

This Information Disclosure Statement is being filed before the mailing date of a final action under 37 C.F.R. § 1.113 and before the mailing date of a Notice of Allowance under § 1.311. A certification under 37 C.F.R. § 1.97(e) is set forth below. Thus, no fee is required as set forth in 37 C.F.R. § 1.97(c).

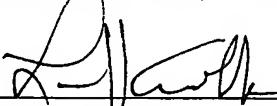
CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I hereby certify that each item of information contained in this Statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

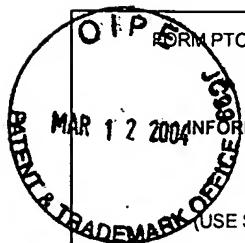
Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: March 10, 2004

By: 

Louis J. Knobbe
 Registration No. 18,780
 Attorney of Record
 Customer No. 20,995
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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. ANGEL.001C1	APPLICATION NO. 10/663,193
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT Kurt E. English, et al.	
(USE SEVERAL SHEETS IF NECESSARY)		FILING DATE September 16, 2003	GROUP Unknown

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
1.	5,680,305	10/21/97	Apgar, IV			
2.	5,970,464	10/29/99	Apte, et al.			
3.	6,236,977 B1	5/22/01	Verba, et al.			
4.	6,236,980 B1	5/22/01	Reese			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
5.	WO 01/29751 A1	9/28/00	PCT				
6.	WO 01/20523 A1	3/22/01	PCT				

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
7.	"Special Features: Venture Capital in OECD Countries" Financial Market Trends, no. 63, February 1996; pg. 15-36
8.	CABLE, Daniel; SHANE, Scott; "Aprisoner's dilemma approach to entrepreneur-venture capital relationships;" v22n1, pp. 142-176; mJanuary 1997; pg. 1-15
9.	CARTER, Richard; VAN AUKEN, Howard; "Venture capital firms' preferences for projects in particular stages of development;" Journal of Small Business Management, v32n1, pp. 60-73; January 1994; pg. 1-8.
10	MASON, Colin; HARRISON, Richard; "Why 'business angels' say no: A case study of opportunities rejected by an informal investor syndicate; "International Small Business Journal, v14n2, pp. 35-51, January-March 1996; 1-7

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030304

EXAMINER	DATE CONSIDERED
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	